

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-06-2019

Name of Fund : LIFE FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
<b>A. During the Quarter</b>									
	8.08% IDFC BANK LIMITED DB 14-08-2020	ECOS	11.95	07/02/2019	BRICKWORK	AAA	AA+	28/05/2019	
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	4.95	31/08/2018	CARE	AAA	BBB	18/05/2019	
	9.05% DHFL SR DB 09-09-2019	HORD	5.88	19/04/2018	CARE	AAA	D	05/06/2019	
	9.05% DHFL SR DB 09-09-2021	HORD	1.81	01/11/2018	CARE	AAA	D	05/06/2019	
	9.10% DHFL SR DB 09-09-2019	HORD	3.39	20/12/2017	CARE	AAA	D	05/06/2019	
<b>B. As on Date</b>									
	7.50% PNB HOUSING FINANCE BS 15-09-2020	HTDN	9.64	31/05/2018	FITCH	AAA	AA+	09/01/2019	
	8.08% IDFC BANK LIMITED DB 14-08-2020	ECOS	11.95	07/02/2019	BRICKWORK	AAA	AA+	28/05/2019	
	8.25% GESHIP DB 25-05-2027	ECOS	19.03	25/09/2017	CARE	AAA	AA+	05/10/2018	
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	4.95	31/08/2018	CARE	AAA	BBB	18/05/2019	
	9.40% IDBI BANK BS 25-03-2026	ORAD	3.01	30/03/2011	ICRA	AA	BBB	13/03/2019	
	9.80% GESHIP DB 03-07-2019	ECOS	5.00	14/03/2018	CARE	AAA	AA+	05/10/2018	
	9.05% DHFL SR DB 09-09-2019	HORD	5.88	19/04/2018	CARE	AAA	D	05/06/2019	
	9.05% DHFL SR DB 09-09-2021	HORD	1.81	01/11/2018	CARE	AAA	D	05/06/2019	
	9.10% DHFL SR DB 09-09-2019	HORD	3.39	20/12/2017	CARE	AAA	D	05/06/2019	

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 17-07-2019

Signature : 

Full Name and Designation : MR.G.VAIDYANATHAN

Authorised Signatory

**Note:**

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at
4. Category of Investment (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE



(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-06-2019

Name of Fund : PENSION FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
	8.08% IDFC BANK LIMITED DB 14-08-2020	ECOS	4.97	07/02/2019	BRICKWORK	AAA	AA+	28/05/2019	
B.	<u>As on Date</u>								
	7.50% PNB HOUSING FINANCE BS 15-09-2020	HTDN	0.20	31/05/2018	FITCH	AAA	AA+	09/01/2019	
	8.08% IDFC BANK LIMITED DB 14-08-2020	ECOS	4.97	07/02/2019	BRICKWORK	AAA	AA+	28/05/2019	

**CERTIFICATION**

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FORM 2 PART A FOOTNOTE



(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-06-2019

Name of Fund : UNIT LINKED

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
<b>A. <u>During the Quarter</u></b>									
	8.08% IDFC BANK LIMITED DB 14-08-2020	ECOS	3.01	07/02/2019	BRICKWORK	AAA	AA+	28/05/2019	
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	4.78	05/02/2019	CARE	AAA	BBB	18/05/2019	
	9.05% DHFL SR DB 09-09-2019	HORD	0.87	08/03/2019	CARE	AAA	D	05/06/2019	
	9.05% DHFL SR DB 09-09-2021	HORD	1.54	01/11/2018	CARE	AAA	D	05/06/2019	
<b>B. <u>As on Date</u></b>									
	8.08% IDFC BANK LIMITED DB 14-08-2020	ECOS	3.01	07/02/2019	BRICKWORK	AAA	AA+	28/05/2019	
	8.25% GESHIP DB 25-05-2027	ECOS	1.00	26/06/2018	CARE	AAA	AA+	05/10/2018	
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	4.78	05/02/2019	CARE	AAA	BBB	18/05/2019	
	9.05% DHFL SR DB 09-09-2019	HORD	0.87	25/06/2018	CARE	AAA	D	05/06/2019	
	9.05% DHFL SR DB 09-09-2021	HORD	1.54	01/11/2018	CARE	AAA	D	05/06/2019	

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Full Name and Designation : MR.G.VAIDYANATHAN

Authorised Signatory

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